



**Finance and Compliance Committee Meeting**  
**Tuesday, November 16, 2021 at 8:00 AM**

**Zoom Meeting URL:**

<https://us06web.zoom.us/j/86279569996?pwd=UnNYaGJxbzdzTDRJRHV6Y1FqL05lUT09>

- I. Call to Order**.....Andrew Warrington, Committee Chairman
- II. Public Comment**
- III. Chairman’s Report**.....Andrew Warrington
- IV. Consent Agenda**

**Approve**

- Finance and Compliance Committee Meeting Minutes from September 2021. [Attachment A](#)

**V. Compliance**

- PY20 WIOA Youth Formula Grant Plan Report [Attachment B](#)
- WIOA Title IB Performance - Statistical Adjustment Model Implementation

**VI. Finance**

- U.S. DOL CAREER Dislocated Worker Grant Application
- Lake County Workforce Development Grant Reports
  - Grant Expenditure Report [Attachment C](#)
  - PY20 Workforce Program Funding [Attachment D](#)
  - PY21 Workforce Program Funding [Attachment E](#)
  - PY 20 Contract Report [Attachment F](#)

**VII. Upcoming Board & Committee Meetings**

- Finance and Compliance Committee Meeting – Tuesday, February 22, 2022 at 8:00 AM
- Workforce Development Board Meeting – Thursday, November 18, 2021 at 8:00 AM

**VIII. Adjournment**



**Finance and Compliance Committee Meeting**  
**Tuesday, September 21, 2021 at 8:00 AM**

**Minutes**

**Zoom Meeting URL:**

<https://us06web.zoom.us/j/81605305223?pwd=RzgyNkxoYlVXaG4yT24rTGFZOWkvdz09>

*Present: Andrew Warrington, Jennifer Serino, Kathy Nellis, Kim Wimer, Tim Dempsey*

**I. Call to Order.....Andrew Warrington, Committee Chairman**

*Meeting called to order at 8:00am.  
 Roll call was taken.  
 There is a quorum.*

**II. Public Comment**

*No public comment.*

**III. Chairman's Report.....Andrew Warrington**

*Nothing to report.*

**IV. Consent Agenda**

**Approve**

- Finance and Compliance Committee Meeting Minutes from July 2021.

[Attachment A](#)

*Action: Member Dempsey made a motion to approve the Consent Agenda.  
 Member Serino seconded the motion.*

*No discussions or corrections.*

*Motion carried.*

**V. Compliance**

- Illinois Department of Commerce and Economic Opportunity LWIA 1 PY20 Monitoring Results [Attachment B](#)
- Y20 WIOA Youth Formula Grant Waiver and DCEO Approval [Attachment C](#)
  - Lake County Youth Plan [Attachment D](#)
    - New Ways to Work

*Member Serino presented the results of the annual DCEO monitoring for active grants. The monitoring was done remotely and went very well. All Findings have been resolved. Recommendations for continuous quality improvement. Frontline staff and Peer-to-Peer reviews. WD Staff will be taking ownership of the Peer-to-Peer reviews. We are all good. Our Findings report will be sent on September 24<sup>th</sup>.*

*DCEO PY20 Monitoring and Youth Plan/Grant Waiver is being requested due to the Requirement to obligate 80% of WIOA Title 1B Youth Formula grants in PY'20.*

*Working with consultants to bring New Ways to Work philosophy to LC Workforce for greater outreach to Youth about the Career Pathways. The focus will help develop or tweak current program to Youth. Come up with a way to break down barriers to accessing resources.*

## **VI. Finance**

- Lake County Workforce Development Grant Reports
  - WIOA PY'19/20 Grant Expenditure Report
  - July 2021 Grant Expenditure Report

[Attachment E](#)  
[Attachment F](#)

*Close out WIOA Compliance PY'19 grant. Monitoring Dislocated Worker grant activity for 4<sup>th</sup> quarter 2020.*

- 52 Youth enrolled
- Estimate \$765,027 carryover
- Need benchmarks
- Report quarterly to WD Board/Committees on monitoring
- Career Pathways Program = 4 subrecipient

*There are 26 Contact Tracers hired and paid by additional grant of \$1,000,000. Six months Equals 1,000 hours. The grant has been extended and increased to 2,000 hours.*

## **VII. Upcoming Board & Committee Meetings**

- Finance and Compliance Committee Meeting – Tuesday, November 16, 2021 at 8:00 AM
- Workforce Development Board Meeting – Thursday, September 30, 2021 at 8:00 AM

*The Board will be meeting virtually.*

## **VIII. Adjournment**

*Member Dempsey motioned to adjourn the meeting. Member Nellis seconded the motion. Meeting adjourned.*

July 2021- June 2022  
Quarterly Benchmarks

Cummulative July 2021 - November 2021 (preliminary)

|                                     | Plan      | Sept 2021  |          | Oct 2021 |          | Nov 2021 |          | 21-Dec    |
|-------------------------------------|-----------|------------|----------|----------|----------|----------|----------|-----------|
|                                     |           | Actual     | Actual % | Actual   | Actual % | Actual   | Actual % | Benchmark |
| <b>Career Pathways Program</b>      | <b>60</b> | <b>60%</b> |          |          |          |          |          | 75%       |
| First Institute                     | 15        | 0          | 0%       | 0        | 0%       | 2        | 13%      |           |
| Community Works                     | 15        | 6          | 40%      | 11       | 73%      | 11       | 73%      |           |
| Employee Connections                | 15        | 2          | 13%      | 6        | 40%      | 10       | 67%      |           |
| North Chicago High School           | 15        | 0          | 0%       | 16       | 107%     | 16       | 107%     |           |
| <b>Career Crossroads</b>            | <b>55</b> | <b>50%</b> |          |          |          |          |          | 100%      |
| Grayslake Central HS                | 10        | 0          | 0%       | 0        | 0%       | 0        | 0%       |           |
| Grayslake North HS                  | 15        | 0          | 0%       | 0        | 0%       | 0        | 0%       |           |
| Grant Community HS                  | 10        | 0          | 0%       | 0        | 0%       | 0        | 0%       |           |
| North Chicago HS                    | 10        | 0          | 0%       | 0        | 0%       | 0        | 0%       |           |
| Round Lake Area HS                  | 10        | 0          | 0%       | 0        | 0%       | 0        | 0%       |           |
| <b>Occupational Training – ITAs</b> |           | <b>25%</b> |          |          |          |          |          | 50%       |
| Workforce Development               | <b>40</b> | 24         | 60%      | 31       | 78%      | 31       | 78%      |           |
| <b>Work-Based Training</b>          |           | <b>20%</b> |          |          |          |          |          | 100%      |
| Workforce Development               | <b>15</b> | 9          | 60%      | 10       | 67%      | 12       | 80%      |           |
| <b>Program Elements</b>             |           | <b>10%</b> |          |          |          |          |          | 50%       |
| Stipends/Support Services           | <b>40</b> | 0          | 0%       | 0        | 0%       | 0        | 0%       |           |

| July 2021- June 2022<br>Quarterly Benchmarks<br>Cummulative July 2021 - October 2021 (preliminary) |                           |                         |            |                         |           |
|--|---------------------------|-------------------------|------------|-------------------------|-----------|
|  | Budget                    | Sept 2021               |            | Oct 2021                | 21-Dec    |
| <b><u>Total Funds Available</u></b>  | <b><u>\$2,283,927</u></b> |                         |            |                         |           |
| WIOA PY21 Grant Funds  | \$1,518,900               |                         |            |                         |           |
| WIOA PY20 Grant Funds  | \$765,027                 |                         |            |                         |           |
|  |                           | Benchmarks              |            |                         |           |
|  |                           | Final #'s               | Actual %   | Preliminary             | Benchmark |
| <b>WDD Personnel &amp; Operations</b>  | <b><u>\$1,061,272</u></b> |                         | <b>20%</b> |                         | 50%       |
| Expenditures   |                           | \$183,485               | 17%        | \$252,400               | 24%       |
| <b>Intermediary Consultant</b>   | <b><u>\$50,000</u></b>    |                         | <b>0%</b>  |                         | 75%       |
| New Ways to Work   |                           | \$                      | -          |                         |           |
| <b>Career Pathways Program</b>   | <b><u>\$386,528</u></b>   |                         | <b>10%</b> |                         | 40%       |
| First Institute  | \$100,000                 | \$3,843                 | 4%         | \$3,843                 | 4%        |
| Community Works  | \$100,000                 | \$17,064                | 17%        | \$17,064                | 17%       |
| Employee Connections   | \$100,000                 | \$9,702                 | 10%        | \$24,742                | 25%       |
| North Chicago High School  | \$86,528                  | \$2,325                 | 3%         | \$3,738                 | 4%        |
| <b>Career Crossroads</b>   | <b><u>\$152,000</u></b>   |                         | <b>0%</b>  |                         | 0%        |
| Grayslake Central HS   | \$18,000                  | \$0                     |            | \$0                     |           |
| Grayslake North HS   | \$18,000                  | \$0                     |            | \$0                     |           |
| Grant Community HS   | \$15,000                  | \$0                     |            | \$0                     |           |
| North Chicago HS   | \$15,000                  | \$0                     |            | \$0                     |           |
| Round Lake Area HS   | \$40,000                  | \$0                     |            | \$0                     |           |
|  | Unobligated               | \$46,000                |            |                         |           |
| <b>Occupational Training – ITAs</b>  | <b><u>\$150,000</u></b>   |                         | <b>25%</b> |                         | 50%       |
| Expenditures & Obligations   |                           | \$85,966                | 57%        | \$ 104,066              | 69%       |
| <b>Work-based Training – wages</b>   | <b><u>\$200,000</u></b>   |                         | <b>20%</b> |                         | 70%       |
| Expenditures & Obligations   |                           | \$198,361               | 99%        | \$ 248,927              | 124%      |
| <b>Program Elements</b>  | <b><u>\$80,000</u></b>    |                         | <b>5%</b>  |                         | 25%       |
| Job Readiness Stipends   |                           | \$0                     |            | \$ 50.00                |           |
| Supportive Services  |                           |                         |            |                         |           |
| Outsourced services - MOUs   |                           |                         |            |                         |           |
| <b><u>Planned Expenditures/Obligations</u></b>   | <b><u>\$2,079,800</u></b> | <b><u>\$500,745</u></b> |            | <b><u>\$654,830</u></b> |           |
|  |                           | 24%                     |            | 31%                     |           |



## PY'20 WIOA Youth Formula Grant Waiver Request

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Lake County Workforce Development Board is submitting this PY'20 WIOA Youth Formula grant waiver for WIOA Grant 20-681001 Youth funding. This waiver is being requested against the requirement to obligate eighty percent of WIOA Title IB Youth Formula grants in PY'20 as outlined in the DCEO WIOA Notice No. 19-NOT-05, Section IV. Obligation Requirement Waiver.

### **Lake County Workforce Development Board PY'20 WIOA Formula Grant Stream impacted**

Lake County Workforce Development Board is submitting a waiver request for the PY'20 WIOA Title IB Youth formula grant.

### **Lake County Workforce Development Board PY'20 WIOA Formula Grant Youth Expenditures and Obligations**

Lake County Workforce Development Board's PY'20 WIOA Title IB Youth formula grant budget is \$1,886,737 and has projected \$1,209,317 in expenditures and obligations, equating to **64%** and not meeting the required 80% threshold.

### **Lake County Workforce Development Board documented reasons PY'20 WIOA Formula Grant Youth expenditures/obligations are less than 80%**

Lake County Workforce Development Board has provided oversight and guidance on the youth service program and financial performance. The Workforce Board has taken into consideration multiple aspect over the past fifteen months that have impacted the network of workforce development youth programs from being able to expedite services to targeted WIOA-eligible youth populations and expend/obligate 80% of the PY'20 WIOA Title IB Youth Formula grant.

Lake County Workforce Development received a 47.6% increase in the PY'20 Youth Formula grant – an additional \$608,320 from the previous year. The Workforce Board responded to this increase by engaging with additional youth serving organizations and six area high schools to recruit additional youth and deliver programs to WIOA eligible Out of School and In School Youth including graduating seniors with an IEP. The plan to enroll and deliver services beginning in the first quarter of the program year was delayed due to remote work, high schools remaining remote, and industries not opening doors to work-experiences. The planning and program delivery lagged into the second and third quarters of the program year – continuing to impact program and financial performance. Because of the delays, the enrollments and planned expenditures/obligations came in at a much lower percentage.

The Workforce Board notes in the fourth quarter of PY'20 enrollments and obligations report an increase with an additional 52 youth enrolled in programs and activities and an additional \$276,582 in funding obligations.



Fourth quarter WIOA Youth activities (April 2021 – June 2021)

- # youth enrolled in occupational training through an ITA- 15
- # youth enrolled in a paid work-experience – 13
- # youth enrolled in Career Crossroads Program and subrecipient Career Pathway Programs: 24
- WIOA Youth Funding Obligations written for ITAs: \$96,061.00
- WIOA Youth Funding Obligations written for Work Experience Agreements: \$180,521.00

### **Lake County Workforce Development Board plan to provide immediate services to youth**

The Workforce Development Board has funded the development and launching of multiple programs and points of entrance for youth and will continue with programs and identifying eligible youth and work-experience opportunities where there have been successes. Workforce Development will continue to work with and fund subrecipients providing Comprehensive Career Pathway and Career Crossroads programs and Workforce Development will expand outreach and recruitment strategies in the Job Center.

- Comprehensive Career Pathway Program model was rolled out in July 2020 as a new program model and subrecipients were awarded funds through an RFP. Comprehensive Career Pathway Programs recruit and enroll youth in a series of career awareness, job readiness, essential skills training and digital literacy activities. The youth work as a cohort and earn incentives as they successfully complete program components and add to their skills portfolio. Youth who successfully complete this phase transition into post-secondary education and/or paid work-experience or full-time employment.
- The Career Crossroads Programs was developed in direct response to Lake County receiving the increase in WIOA PY'20 Title IB Youth funds and leveraging existing relationships with area high schools. Career Crossroads enrolls high school seniors with an IEP in a series of career awareness, job readiness and essential skills training delivered jointly by high school faculty and a team from Workforce Development. The goal of the program is to assist students on their career pathway including transition to employment or post-secondary education upon graduation. Workforce Development works with high schools in high poverty areas in the county.
- Workforce Development will serve a greater number of youths through the Job Center – recruiting and enrolling WIOA eligible youth prepared to pursue occupational training through an Individual Training Account (ITA) funded training program.
- Workforce Development hired a business service specialist to work directly with youth and businesses and employers interested in setting up work-experiences and hiring youth. Workforce Development will engage with businesses, employers and youth serving programs to target and increase the number of work-experience opportunities available and set up paid work-experience training programs.
- Workforce Development has recognized the need to improve upon the delivery of various program elements by subject matter experts and is funding community and subject matter experts to deliver both virtual and in person services including: leadership training, entrepreneurial training, college readiness, financial literacy and mentoring.



- Workforce Development Board is hiring a consultant to complete an assessment of youth services and programs including interviewing stakeholders and participants. It is the intent to complete an assessment, identify how best to align services and programs, and make recommendations on how best to address recruitment and service gaps; and focusing on key sectors of the population including youth aging out of foster care, youth with a disability, youth tied to the justice system – on probation, and homeless youth.

#### Lake County Workforce Development Board WIOA Youth budget and participant plan

| Youth Plan   | July 2021-<br>June 2022 | Quarterly Benchmarks |        |        |        |
|--|-------------------------|----------------------|--------|--------|--------|
| <b>Total Funds Available</b>   | <b>\$2,283,927</b>      |                      |        |        |        |
| WIOA PY21 Grant Funds  | \$1,518,900             |                      |        |        |        |
| WIOA PY20 Grant Funds  | \$765,027               |                      |        |        |        |
| <b>Youth Program Administration</b>                                  |                         | Sep-21               | Dec-21 | Mar-22 | Jun-22 |
| WDD Personnel & Operations – including a team of contingent workers  | \$1,033,878             | 20%                  | 50%    | 75%    | 100%   |
| Intermediary – Professional Services agreement with a consultant     | \$50,000                |                      | 75%    | 100%   |        |
| <b>Youth Service Delivery Strategies</b>                             |                         |                      |        |        |        |
| Career Pathways Program  | \$386,528               | 10%                  | 40%    | 75%    | 100%   |
| 4 subrecipients  | 4                       | 100%                 |        |        |        |
| Enrollments  | 60                      | 60%                  | 75%    | 100%   |        |
| Career Crossroads – High Schools, staffing and youth cash incentives | \$152,000               | 0%                   | 0%     | 25%    | 100%   |
| High Schools   | 6                       | 100%                 |        |        |        |
| Enrollments  | 70                      | 50%                  | 100%   |        |        |
| Occupational Training – ITAs   | \$150,000               | 25%                  | 50%    | 85%    | 100%   |
|  | 40                      | 25%                  | 50%    | 85%    | 100%   |
| Work-based Training – wages  | \$200,000               | 20%                  | 70%    | 100%   |        |
|  | 15                      | 60%                  | 100%   |        |        |
| Program Elements   | \$80,000                | 5%                   | 25%    | 75%    | 100%   |
| Job Readiness Stipends/Support Services                              | 40                      | 10%                  | 50%    | 75%    | 100%   |
| Outsourced services MOUs   | 4                       |                      | 100%   |        |        |
|  |                         |                      |        |        |        |
| Planned Expenditures/Obligations                                     | \$2,052,406             |                      |        |        |        |
| >/= 80% for compliance   | 84.76%                  |                      |        |        |        |



**Lake County Workforce Development Board**  
**Grant Expenditure Report September 30, 2021**

**Workforce Development Grant Expenditure Report - Year End**

**Workforce Innovation and Opportunity Act Grant**

| <b>Youth - Adult - Dislocated Worker</b>   | <b>Cost Category</b> | <b>Allocation</b> | <b>Certified Costs</b> | <b>Obligations*</b> | <b>Percentage</b> |
|--|----------------------|-------------------|------------------------|---------------------|-------------------|
| <b>PY'21 Grant (July 2021 - June 2023)</b> | Administration       | 507,052           | 2,906                  |                     | 0.6%              |
|  | Youth                | 1,518,901         | 98,804                 | 522,096             | 40.9%             |
|  | Adult                | 1,435,907         | 154,859                | 175,203             | 23.0%             |
|  | Dislocated Worker    | 1,608,678         | 183,010                | 188,364             | 23.1%             |
|  | <b>Total</b>         | <b>5,070,538</b>  | <b>439,580</b>         | <b>885,663</b>      | <b>26.1%</b>      |

| <b>WIOA Compliance</b> |             |                |
|------------------------|-------------|----------------|
|                        | <b>Goal</b> | <b>%Budget</b> |
| YOS                    | 75%         | 7%             |
| W/E                    | 20%         | 2%             |
| Training               | 50%         | 11%            |
| Cost/Ob                | 80%         | 26.1%          |

**Workforce Innovation and Opportunity Act Grant**

| <b>Youth - Adult - Dislocated Worker</b>   | <b>Cost Category</b> | <b>Allocation</b> | <b>Certified Costs</b> | <b>Obligations*</b> | <b>Percentage</b> |
|--|----------------------|-------------------|------------------------|---------------------|-------------------|
| <b>PY'20 Grant (July 2020 - June 2022)</b> | Administration       | 676,363           | 576,574                |                     | 85.2%             |
|  | Youth                | 1,886,737         | 1,271,226              | 131,253             | 74.3%             |
|  | Adult                | 1,783,624         | 1,653,527              | 23,426              | 94.0%             |
|  | Dislocated Worker    | 2,416,917         | 1,992,250              | 115,560             | 87.2%             |
|  | <b>Total</b>         | <b>6,763,641</b>  | <b>5,493,577</b>       | <b>270,239</b>      | <b>85.2%</b>      |

| <b>WIOA Compliance</b> |             |                |
|------------------------|-------------|----------------|
|                        | <b>Goal</b> | <b>%Budget</b> |
| YOS                    | 50%         | 58%            |
| W/E                    | 20%         | 26%            |
| Training               | 50%         | 55%            |
| Cost/Ob                | 100%        | 85.2%          |

**WIOA Opioid Grant - Dislocated Worker Training Grant**

| <b>(October 2019 - June 2022)</b> | <b>Cost Category</b> | <b>Budget</b> | <b>Certified Costs</b> | <b>Obligations*</b> | <b>Percentage</b> |
|-----------------------------------|----------------------|---------------|------------------------|---------------------|-------------------|
|                                   | Total Budget         | 211,532       | 177,153                |                     | 83.7%             |

**Trade Act Grant (TAA)**

| <b>(October 2020 - September 2021)</b> | <b>Cost Category</b> | <b>Budget</b> | <b>Certified Costs</b> | <b>Obligations*</b> | <b>Percentage</b> |
|--|----------------------|---------------|------------------------|---------------------|-------------------|
|  | Total Budget         | 167,769       | 119,951                |                     | 71.5%             |

**WIOA Disaster Employment Relief Grant - Contact Tracers**

| <b>(June 2020 - March 2022)</b> | <b>Cost Category</b> | <b>Budget</b> | <b>Certified Costs</b> | <b>Obligations*</b> | <b>Percentage</b> |
|---------------------------------|----------------------|---------------|------------------------|---------------------|-------------------|
|                                 | Total Budget         | 1,223,650     | 869,221                |                     | 71.0%             |

**WIOA Employment Recovery Grant - Dislocated Worker Training Grant**

| <b>(June 2020 - March 2022)</b> | <b>Cost Category</b> | <b>Budget</b> | <b>Certified Costs</b> | <b>Obligations*</b> | <b>Percentage</b> |
|---------------------------------|----------------------|---------------|------------------------|---------------------|-------------------|
|                                 | Total Budget         | 298,011       | 240,098                |                     | 80.6%             |

**WIOA Regional Team Training and Professional Development Grant**

| <b>(June 2020 - March 2022)</b> | <b>Cost Category</b> | <b>Budget</b> | <b>Certified Costs</b> | <b>Obligations*</b> | <b>Percentage</b> |
|---------------------------------|----------------------|---------------|------------------------|---------------------|-------------------|
|                                 | Total Budget         | 166,005       | 118,184                |                     | 71.2%             |

**Tollway ConstructionWorks Grant**

| <b>(September 2018 - August 2021)</b> | <b>Cost Category</b> | <b>Budget</b> | <b>Certified Costs</b> | <b>Obligations*</b> | <b>Percentage</b> |
|---------------------------------------|----------------------|---------------|------------------------|---------------------|-------------------|
|                                       | Total Budget         | 63,739        | 34,138                 |                     | 53.6%             |

**College of Lake County Transform Lake County Grant**

| <b>(September 2018 - December 2021)</b> | <b>Cost Category</b> | <b>Budget</b> | <b>Certified Costs</b> | <b>Obligations*</b> | <b>Percentage</b> |
|---|----------------------|---------------|------------------------|---------------------|-------------------|
|   | Total Budget         | 37,564        | 11,436                 |                     | 30.4%             |

**WIOA Dislocated Worker Project Navigator and Supportive Services**

| <b>(June 2021 - October 2022)</b> | <b>Cost Category</b> | <b>Budget</b> | <b>Certified Costs</b> | <b>Obligations*</b> | <b>Percentage</b> |
|-----------------------------------|----------------------|---------------|------------------------|---------------------|-------------------|
|                                   | Total Budget         | 325,000       | -                      |                     | 0.0%              |

**WIOA Dislocated Worker Incumbent Worker Industrial Manufacturing Technician Grant**

| <b>(June 2021 - October 2022)</b> | <b>Cost Category</b> | <b>Budget</b> | <b>Certified Costs</b> | <b>Obligations*</b> | <b>Percentage</b> |
|-----------------------------------|----------------------|---------------|------------------------|---------------------|-------------------|
|                                   | Total Budget         | 156,454       | -                      |                     | 0.0%              |

Certified costs for September 2021 reported on Oct 20, 2021. Obligations reported quarterly.

**WIOA PY'20 PROGRAM FUNDING**  
**WIOA Grant Term (7/1/2020 - 6/30/2022)**  
**Reporting Period (7/1/2020 - 7/31/2021)**

|   | <b>Budget</b>       | <b>Actuals</b>      | <b>Balance</b>      | <b>% to Budget</b> |
|---|---------------------|---------------------|---------------------|--------------------|
| Administration - Personnel                      | 342,258.00          | 319,884.00          | 22,374.00           | 93%                |
| Administration - Fringe Benefits                | 159,112.00          | 133,507.43          | 25,604.57           | 84%                |
| Administration - Other Administration           | 114,071.00          | 62,204.15           | 51,866.85           | 55%                |
| Administration - Indirect                       | 60,922.00           | 60,978.78           | (56.78)             | 100%               |
| <b>Total Administration</b>                     | <b>676,363.00</b>   | <b>576,574.36</b>   | <b>99,788.64</b>    | <b>85%</b>         |
|   |                     |                     |                     |                    |
| Youth In-School - Personnel                     | 53,265.00           | 16,167.52           | 37,097.48           | 30%                |
| Youth In-School - Fringe Benefits               | 24,212.00           | 6,389.29            | 17,822.71           | 26%                |
| Youth In-School - Other Program Costs           | 10,129.00           | 15,855.88           | (5,726.88)          | 157%               |
| <b>Youth In-School - Direct Training Cost</b>   | <b>-</b>            | <b>61,402.13</b>    | <b>(61,402.13)</b>  | <b>0%</b>          |
| Occupational Skills Training Other              | -                   | -                   | -                   |                    |
| Remedial / Pre-Vocational Training              | -                   | 61,402.13           | (61,402.13)         | 0%                 |
| WIOA Pay for Performance Contracts              | -                   | -                   | -                   |                    |
| Supportive Services                             | -                   | -                   | -                   |                    |
| Youth In-School - Work Based Training           | <b>15,000.00</b>    | <b>77,506.00</b>    | (62,506.00)         | 517%               |
| Work Experience / Internships                   | 15,000.00           | 77,506.00           | (62,506.00)         | 517%               |
| On-the-Job Training                             | -                   | -                   | -                   |                    |
| Youth In-School - Indirect                      | 9,481.00            | 3,077.56            | 6,403.44            | 32%                |
| <b>Total Youth In-School</b>                    | <b>112,087.00</b>   | <b>180,398.38</b>   | <b>(68,311.38)</b>  | <b>161%</b>        |
|   |                     |                     |                     |                    |
| Youth Out of School - Personnel                 | 407,283.00          | 159,084.68          | 248,198.32          | 39%                |
| Youth Out of School - Fringe Benefits           | 189,384.00          | 66,133.28           | 123,250.72          | 35%                |
| Youth Out of School - Other Program Costs       | 115,874.00          | 71,355.59           | 44,518.41           | 62%                |
| <b>Youth Out of School - Direct Training Co</b> | <b>393,712.00</b>   | <b>347,839.61</b>   | <b>45,872.39</b>    | <b>88%</b>         |
| Occupational Skills Training ITAs               | 124,046.00          | 160,349.35          | (36,303.35)         | 129%               |
| Occupational Skills Training Other              | -                   | -                   | -                   |                    |
| Remedial / Pre-Vocational Training              | 267,166.00          | 177,916.42          | 89,249.58           | 67%                |
| WIOA Pay for Performance Contracts              | -                   | -                   | -                   |                    |
| Supportive Services                             | 2,500.00            | 9,573.84            | (7,073.84)          | 383%               |
| <b>Youth Out of School - Work Based Traini</b>  | <b>595,901.00</b>   | <b>418,874.74</b>   | <b>177,026.26</b>   | <b>70%</b>         |
| Work Experience / Internships                   | 569,901.00          | 409,656.49          | 160,244.51          | 72%                |
| On-the-Job Training                             | 26,000.00           | 9,218.25            | 16,781.75           | 35%                |
| Youth Out of School - Indirect                  | 72,496.00           | 27,540.08           | 44,955.92           | 38%                |
| <b>Total Youth Out of School</b>                | <b>1,774,650.00</b> | <b>1,090,827.98</b> | <b>683,822.02</b>   | <b>61%</b>         |
|   |                     |                     |                     |                    |
| Adult - Personnel                               | 468,957.00          | 322,293.87          | 146,663.13          | 69%                |
| Adult - Fringe Benefits                         | 240,436.00          | 141,258.60          | 99,177.40           | 59%                |
| Adult - Other Program Costs                     | 239,333.00          | 177,353.83          | 61,979.17           | 74%                |
| <b>Adult - Direct Training Cost</b>             | <b>456,424.00</b>   | <b>700,110.32</b>   | <b>(243,686.32)</b> | <b>153%</b>        |
| Occupational Skills Training ITAs               | 446,399.00          | 697,409.56          | (251,010.56)        | 156%               |
| Supportive Services                             | 10,025.00           | 2,700.76            | 7,324.24            | 27%                |
| <b>Adult - Work Based Training</b>              | <b>295,000.00</b>   | <b>263,302.75</b>   | <b>31,697.25</b>    | <b>89%</b>         |
| On-the-Job Training                             | 70,000.00           | 35,695.05           | 34,304.95           | 51%                |
| Work Experience / Internships                   | 198,000.00          | 227,607.70          | (29,607.70)         | 115%               |
| Transitional Jobs                               | 27,000.00           | -                   | 27,000.00           | 0%                 |
| Adult - Indirect                                | 83,474.00           | 49,207.14           | 34,266.86           | 59%                |
| <b>Total Adult</b>                              | <b>1,783,624.00</b> | <b>1,653,526.51</b> | <b>130,097.49</b>   | <b>93%</b>         |
|   |                     |                     |                     |                    |
| Dislocated Worker - Personnel                   | 421,003.00          | 343,901.26          | 77,101.74           | 82%                |
| Dislocated Worker - Fringe Benefits             | 218,925.00          | 155,013.79          | 63,911.21           | 71%                |
| Dislocated Worker - Other Program Costs         | 209,760.00          | 106,180.80          | 103,579.20          | 51%                |
| <b>Dislocated Worker - Direct Training Cost</b> | <b>977,290.00</b>   | <b>861,465.38</b>   | <b>115,824.62</b>   | <b>88%</b>         |
| Occupational Skills Training ITAs               | 970,290.00          | 860,179.04          | 110,110.96          | 89%                |
| Supportive Services                             | 7,000.00            | 1,286.34            | 5,713.66            | 18%                |
| <b>Dislocated Worker - Work Based Training</b>  | <b>515,000.00</b>   | <b>467,142.19</b>   | <b>47,857.81</b>    | <b>91%</b>         |
| On-the-Job Training                             | 95,000.00           | 165,595.85          | (70,595.85)         | 174%               |
| Work Experience / Internships                   | 220,000.00          | 248,051.34          | (28,051.34)         | 113%               |
| Incumbent Worker                                | 200,000.00          | 53,495.00           | 146,505.00          | 27%                |
| Dislocated Worker - Indirect                    | 74,939.00           | 58,546.83           | 16,392.17           | 78%                |
| <b>Total Dislocated Worker</b>                  | <b>2,416,917.00</b> | <b>1,992,250.25</b> | <b>424,666.75</b>   | <b>82%</b>         |
|   |                     |                     |                     |                    |
| <b>Budgeted Costs Total</b>                     | <b>6,763,641.00</b> | <b>5,493,577.48</b> | <b>1,270,063.52</b> | <b>81%</b>         |
| Ck  | 6,763,641.00        | 5,493,577.48        | 1,270,063.52        |                    |
| Diff  | -                   | -                   | -                   |                    |

**WIOA COMPLIANCE PY'20**

| <b>Youth</b>            | <b>Budget</b>       | <b>Expense</b>      | <b>Work Experience</b>  | <b>Work Exp - 20%</b> |
|-------------------------|---------------------|---------------------|-------------------------|-----------------------|
| Y-IN                    | 112,087.00          | 180,398.38          | 77,506.00               |                       |
| Y-OUT                   | 1,774,650.00        | 1,090,827.98        | 418,874.74              |                       |
|                         | <b>1,886,737.00</b> | <b>1,271,226.36</b> | <b>496,380.74</b>       | <b>26.31%</b>         |
|                         |                     | <b>67%</b>          |                         |                       |
| <b>Adult/Dislocated</b> | <b>Budget</b>       | <b>% of Budget</b>  | <b>Training Expense</b> | <b>Training - 50%</b> |
| Adult                   | 1,783,624.00        | 42%                 | 963,413.07              |                       |
| Dislocated              | 2,416,917.00        | 58%                 | 1,328,607.57            |                       |
|                         | <b>4,200,541.00</b> |                     | <b>2,292,020.64</b>     | <b>54.56%</b>         |

**WIOA PY'21 PROGRAM FUNDING**  
**WIOA Grant Term (7/1/2021 - 6/30/2023)**  
**Reporting Period (7/1/2021 - 7/31/2022)**

|   | <b>Budget</b>       | <b>Actuals</b>    | <b>Balance</b>      | <b>% to Budget</b> |
|---|---------------------|-------------------|---------------------|--------------------|
| Administration - Personnel                      | 268,767.00          | -                 | 268,767.00          | 0%                 |
| Administration - Fringe Benefits                | 125,870.00          | -                 | 125,870.00          | 0%                 |
| Administration - Other Administration           | 64,574.00           | 2,906.11          | 61,667.89           | 5%                 |
| Administration - Indirect                       | 47,841.00           | -                 | 47,841.00           | 0%                 |
| <b>Total Administration</b>                     | <b>507,052.00</b>   | <b>2,906.11</b>   | <b>504,145.89</b>   | <b>1%</b>          |
|   |                     |                   |                     |                    |
| Youth In-School - Personnel                     | 59,500.00           | -                 | 59,500.00           | 0%                 |
| Youth In-School - Fringe Benefits               | 26,549.00           | -                 | 26,549.00           | 0%                 |
| Youth In-School - Other Program Costs           | 33,773.00           | 66.05             | 33,706.95           | 0%                 |
| <b>Youth In-School - Direct Training Cost</b>   | <b>34,612.00</b>    | <b>-</b>          | <b>34,612.00</b>    | <b>0%</b>          |
| Occupational Skills Training Other              | -                   | -                 | -                   |                    |
| Remedial / Pre-Vocational Training              | 34,612.00           | -                 | 34,612.00           | 0%                 |
| WIOA Pay for Performance Contracts              | -                   | -                 | -                   |                    |
| Supportive Services                             | -                   | -                 | -                   |                    |
| Youth In-School - Work Based Training           | <b>68,916.00</b>    | -                 | 68,916.00           | 0%                 |
| Work Experience / Internships                   | 68,916.00           | -                 | 68,916.00           | 0%                 |
| On-the-Job Training                             | -                   | -                 | -                   |                    |
| Youth In-School - Indirect                      | 10,591.00           | -                 | 10,591.00           | 0%                 |
| <b>Total Youth In-School</b>                    | <b>233,941.00</b>   | <b>66.05</b>      | <b>233,874.95</b>   | <b>0%</b>          |
|   |                     |                   |                     |                    |
| Youth Out of School - Personnel                 | 487,431.00          | -                 | 487,431.00          | 0%                 |
| Youth Out of School - Fringe Benefits           | 220,044.00          | -                 | 220,044.00          | 0%                 |
| Youth Out of School - Other Program Costs       | 136,621.00          | 264.19            | 136,356.81          | 0%                 |
| <b>Youth Out of School - Direct Training Co</b> | <b>334,101.00</b>   | <b>74,055.62</b>  | <b>260,045.38</b>   | <b>22%</b>         |
| Occupational Skills Training ITAs               | 15,845.00           | 43,218.00         | (27,373.00)         | 273%               |
| Occupational Skills Training Other              | -                   | -                 | -                   |                    |
| Remedial / Pre-Vocational Training              | 313,756.00          | 30,837.62         | 282,918.38          | 10%                |
| WIOA Pay for Performance Contracts              | -                   | -                 | -                   |                    |
| Supportive Services                             | 4,500.00            | -                 | 4,500.00            | 0%                 |
| <b>Youth Out of School - Work Based Traini</b>  | <b>20,000.00</b>    | <b>24,418.33</b>  | <b>(4,418.33)</b>   | <b>122%</b>        |
| Work Experience / Internships                   | 20,000.00           | 24,418.33         | (4,418.33)          | 122%               |
| On-the-Job Training                             | -                   | -                 | -                   | 0%                 |
| Youth Out of School - Indirect                  | 86,763.00           | -                 | 86,763.00           | 0%                 |
| <b>Total Youth Out of School</b>                | <b>1,284,960.00</b> | <b>98,738.14</b>  | <b>1,186,221.86</b> | <b>8%</b>          |
|   |                     |                   |                     |                    |
| Adult - Personnel                               | 359,198.00          | -                 | 359,198.00          | 0%                 |
| Adult - Fringe Benefits                         | 174,963.00          | -                 | 174,963.00          | 0%                 |
| Adult - Other Program Costs                     | 163,648.00          | 264.19            | 163,383.81          | 0%                 |
| <b>Adult - Direct Training Cost</b>             | <b>467,037.00</b>   | <b>147,148.00</b> | <b>319,889.00</b>   | <b>32%</b>         |
| Occupational Skills Training ITAs               | 452,037.00          | 146,948.00        | 305,089.00          | 33%                |
| Supportive Services                             | 15,000.00           | 200.00            | 14,800.00           | 1%                 |
| <b>Adult - Work Based Training</b>              | <b>207,150.00</b>   | <b>7,447.18</b>   | <b>199,702.82</b>   | <b>4%</b>          |
| On-the-Job Training                             | 33,000.00           | 4,147.20          | 28,852.80           | 13%                |
| Work Experience / Internships                   | 174,150.00          | 3,299.98          | 170,850.02          | 2%                 |
| Transitional Jobs                               | -                   | -                 | -                   | 0%                 |
| Adult - Indirect                                | 63,911.00           | -                 | 63,911.00           | 0%                 |
| <b>Total Adult</b>                              | <b>1,435,907.00</b> | <b>154,859.37</b> | <b>1,281,047.63</b> | <b>11%</b>         |
|   |                     |                   |                     |                    |
| Dislocated Worker - Personnel                   | 382,009.00          | -                 | 382,009.00          | 0%                 |
| Dislocated Worker - Fringe Benefits             | 190,917.00          | -                 | 190,917.00          | 0%                 |
| Dislocated Worker - Other Program Costs         | 124,580.00          | 330.24            | 124,249.76          | 0%                 |
| <b>Dislocated Worker - Direct Training Cost</b> | <b>598,305.00</b>   | <b>160,198.45</b> | <b>438,106.55</b>   | <b>27%</b>         |
| Occupational Skills Training ITAs               | 591,805.00          | 160,198.45        | 431,606.55          | 27%                |
| Supportive Services                             | 6,500.00            | -                 | 6,500.00            | 0%                 |
| <b>Dislocated Worker - Work Based Training</b>  | <b>245,000.00</b>   | <b>22,481.44</b>  | <b>222,518.56</b>   | <b>9%</b>          |
| On-the-Job Training                             | 55,000.00           | 4,032.00          | 50,968.00           | 7%                 |
| Work Experience / Internships                   | 90,000.00           | 18,449.44         | 71,550.56           | 20%                |
| Incumbent Worker                                | 100,000.00          | -                 | 100,000.00          | 0%                 |
| Dislocated Worker - Indirect                    | 67,867.00           | -                 | 67,867.00           | 0%                 |
| <b>Total Dislocated Worker</b>                  | <b>1,608,678.00</b> | <b>183,010.13</b> | <b>1,425,667.87</b> | <b>11%</b>         |
|   |                     |                   |                     |                    |
| <b>Budgeted Costs Total</b>                     | <b>5,070,538.00</b> | <b>439,579.80</b> | <b>4,630,958.20</b> | <b>9%</b>          |
| Ck  | 5,070,538.00        | 439,579.80        | 4,630,958.20        |                    |
| Diff  | -                   | -                 | -                   |                    |

**WIOA COMPLIANCE PY'20**

| <b>Youth</b>            | <b>Budget</b>       | <b>Expense</b>     | <b>Work Experience</b>  | <b>Work Exp - 20%</b> |
|-------------------------|---------------------|--------------------|-------------------------|-----------------------|
| Y-IN                    | 233,941.00          | 66.05              | 0.00                    |                       |
| Y-OUT                   | 1,284,960.00        | 98,738.14          | 24,418.33               |                       |
|                         | <b>1,518,901.00</b> | <b>98,804.19</b>   | <b>24,418.33</b>        | <b>1.61%</b>          |
|                         |                     | <b>7%</b>          |                         |                       |
| <b>Adult/Dislocated</b> | <b>Budget</b>       | <b>% of Budget</b> | <b>Training Expense</b> | <b>Training - 50%</b> |
| Adult                   | 1,435,907.00        | 47%                | 154,595.18              |                       |
| Dislocated              | 1,608,678.00        | 53%                | 182,679.89              |                       |
|                         | <b>3,044,585.00</b> |                    | <b>337,275.07</b>       | <b>11.08%</b>         |

Lake County Workforce Development Board  
Finance and Compliance Committee  
Expenditure Oversight Annual Report

Attachment F

Q4 PY20

July 1, 2020 - June 30, 2021

Reported September 2021

| WE Program   |                 |                           |
|--------------|-----------------|---------------------------|
| Expended Amt | Contractual Amt | Employer                  |
|              |                 | SALEM STAFFING            |
| 754,803      | 981,750         | LCWD - CONTACT TRACERS    |
| 82,093       | 111,447         | COMMUNITY WORKS           |
| 56,694       | 98,878          | NICASA                    |
| 38,364       | 48,440          | UNITED WAY                |
| 23,091       | 34,596          | TOP TECH HEATING          |
| 759          | 31,212          | CHOCOLATE COOKIE ASSN     |
| 21,084       | 26,843          | WAUKEGAN 2 COLLEGE        |
| 23,331       | 23,460          | CB MILLS                  |
| 22,533       | 22,950          | ADAM AUTOMOTIVE           |
| 11,400       | 21,608          | UMMA CENTER               |
| 7,160        | 19,924          | WAUKEGAN HOUSING          |
| 18,070       | 19,584          | CONSUMERS CREDIT UNION    |
| 7,276        | 19,520          | LCWD                      |
| 18,497       | 18,697          | FIRST INSTITUTE           |
| 706          | 15,300          | MAINSTAY CLINIC           |
| 7,348        | 15,300          | STRANG AUTOMOTIVE         |
| 8,023        | 15,300          | WAUKEGAN TIRE             |
| 6,915        | 10,770          | PADS LAKE COUNTY          |
| 213,776      | 305,750         | LCWD - OTHER              |
| 139,763      | 159,500         | IT EXPERTS                |
| 24,320       | 78,000          | SAI INFUSION TECHNOLOGY   |
| 14,573       | 60,000          | WAUKEGAN TOWNSHIP         |
| 26,520       | 33,000          | THERMOHELP                |
| 18,750       | 18,750          | BLACK CHAMBER OF COMMERCE |
| 3,942        | 18,000          | GIO NAILS                 |
| 6,390        | 18,000          | ELENI'S COOKIE COMPANY    |
| 5,866        | 12,600          | YOUTH CONSERVATION CORP   |
| 1,544        | 16,000          | DR. IRINA HARAG           |

| OJT Program  |                 |                            |
|--------------|-----------------|----------------------------|
| Expended Amt | Contractual Amt | Employer                   |
| 72,227       | 86,990          | IT EXPERT                  |
| 26,016       | 33,750          | BLACK CHAMBER OF COMMERCE  |
| 21,608       | 30,850          | ELENI'S COOKIE COMPANY     |
| 25,240       | 25,240          | SWAGGER FOODS              |
| 13,500       | 18,750          | CB MILLS - CHICAGO BOILER  |
| 18,030       | 18,030          | STEPPING STONES NETWORK    |
| 7,271        | 16,230          | YWCA                       |
| 5,976        | 12,830          | WAUKEGAN HOUSING AUTHORITY |
| 9,218        | 12,750          | COLBORNE FOODBOTICS        |
| 5,022        | 10,125          | SAI INFUSION               |
| 9,750        | 9,750           | HORIZON BENEFIT SERVICES   |
| 8,604        | 9,000           | SUPERIOR MEDICAL SOLUTIONS |

| IWT Program  |                 |                        |
|--------------|-----------------|------------------------|
| Expended Amt | Contractual Amt | Employer               |
| 43,405       | 43,405          | PEER CHAIN             |
| -            | 31,400          | VANTAGE SPECIALTY      |
| -            | 15,600          | MACLEAN FOGG           |
| -            | 12,705          | ADVANCED PROTOTYPE     |
| 6,100        | 12,670          | STEPPING STONES        |
| -            | 11,775          | BURGESS                |
| -            | 9,359           | RF TECHNOLOGY          |
| 3,990        | 3,990           | HYDRAFORCE             |
| -            | 2,475           | PROGRESSIVE COMPONENTS |

| WIOA Youth Program |                 |                      |
|--------------------|-----------------|----------------------|
| Expended Amt       | Contractual Amt | Contractor           |
| 62,945             | 100,000         | EMPLOYEE CONNECTIONS |
| 131,722            | 144,590         | COMMUNITY WORKS      |
| 95,309             | 100,000         | FIRST INSTITUTE      |
| 62,326             | 86,528          | NCCHS                |
| 46,128             | 49,118          | YCC                  |

| Individual Training Account |                 |                                    |
|-----------------------------|-----------------|------------------------------------|
| Expended Amt                | Contractual Amt | Training Provider                  |
| 248485                      | 252630          | 160 DRIVING ACADEMY                |
| 36508                       | 42000           | ABLE CAREER INSTITUTE              |
| 4995                        | 4995            | ALAMO TRUCK DRIVING SCHOOL         |
| 14926                       | 14926           | AMBRIA COLLEGE OF NURSING          |
| 18120                       | 18120           | AMERICARE TECHNICAL SCHOOL         |
| 20000                       | 20000           | AQUARIUS INSTITUTE                 |
| 5000                        | 5000            | B & DA INC                         |
| 5000                        | 5000            | BULLDOG DRIVING SCHOOL             |
| 63000                       | 63000           | CHICAGO PROFESSIONAL CENTER        |
| 97922                       | 132593          | COLLEGE OF LAKE COUNTY             |
| 9675                        | 10050           | COLUMBIA COLLEGE - Crystal Lake    |
| 33325                       | 33325           | COMNET                             |
| 83023                       | 83023           | COMPUTER TRAINING SOURCE           |
| 4785                        | 10535           | DEPAUL UNIVERSITY                  |
| 7000                        | 7000            | DIRECTIONS TRAINING CENTER         |
| 4500                        | 4500            | EAGLE TRAINING SERVICES INC        |
| 18235                       | 32510           | FIRST INSTITUTE                    |
| 100                         | 871             | FOLLETT HARPER COLLEGE BOOK STORI  |
| 22500                       | 22500           | GREAT PARAGON HEALTH SERVICES, INC |
| 4252                        | 15403           | HARPER COLLEGE                     |
| 7000                        | 7000            | ILLINOIS INSTITUTE OF TECHNOLOGY   |
| 13437                       | 13437           | INTERNATIONAL CAREER SCHOOL        |
| 98000                       | 98000           | IT EXPERT SYSTEM, INC              |
| 237800                      | 272298          | KOTRA TRUCK DRIVING SCHOOL         |
| 1595                        | 1735            | LEGACY INSPECTION TRAINING         |
| 150198                      | 150198          | MANAGEMENT & INFORMATION TECHNOL   |
| 4000                        | 4000            | MEDCERTS LLC                       |
| 5000                        | 5000            | MEXICO JUAREZ DRIVING SCHOOL       |
| 11160                       | 19840           | MICHIGAN STATE UNIVERSITY          |
| 295269                      | 302248          | MICROTRAIN TECHNOLOGIES            |
| 84000                       | 84000           | MILDUN TRAINING CNTR               |
| 109543.35                   | 126173          | NORTH SHORE COLLEGE                |
| 6340                        | 6340            | NORTH SHORE DENTAL ASSISTING ACAD  |
| 2498.75                     | 2591.3          | OAKTON COMMUNITY COLLEGE           |
| 7240                        | 7240            | PURDUE ONLINE                      |
| 366                         | 366             | RASMUSSEN COLLEGE - ROCKFORD       |
| 0                           | 5000            | STAR TRUCK DRIVING SCHOOL          |
| 92957                       | 92956.57        | STATE CAREER COLLEGE               |
| 69650                       | 69650           | SYMBOL JOB TRAINING INC            |
| 3151                        | 3151            | TUKIENDORF TRAINING INSTITUTE      |
| 13994.33                    | 14539.33        | UW PARKSIDE                        |