



**Finance and Compliance Committee Meeting**  
**Tuesday, September 21, 2021 at 8:00 AM**

**Zoom Meeting URL:**

<https://us06web.zoom.us/j/81605305223?pwd=RzgyNkxoYlVXaG4yT24rTGFZOWkvdz09>

- I. Call to Order**.....Andrew Warrington, Committee Chairman
- II. Public Comment**
- III. Chairman’s Report**.....Andrew Warrington
- IV. Consent Agenda**
  - Approve**
    - Finance and Compliance Committee Meeting Minutes from July 2021. [Attachment A](#)
- V. Compliance**
  - Illinois Department of Commerce and Economic Opportunity LWIA 1 PY20 Monitoring Results [Attachment B](#)
  - Y20 WIOA Youth Formula Grant Waiver and DCEO Approval [Attachment C](#)
    - Lake County Youth Plan [Attachment D](#)
      - New Ways to Work
- VI. Finance**
  - Lake County Workforce Development Grant Reports [Attachment E/E.1](#)
    - WIOA PY’19/20 Grant Expenditure Report [Attachment F](#)
    - July 2021 Grant Expenditure Report
- VII. Upcoming Board & Committee Meetings**
  - Finance and Compliance Committee Meeting – Tuesday, November 16, 2021 at 8:00 AM
  - Workforce Development Board Meeting – Thursday, September 30, 2021 at 8:00 AM
- VIII. Adjournment**



## Finance and Compliance Committee Meeting Minutes

Tuesday, July 20, 2021 at 8:00 AM

Zoom Meeting URL:

<https://zoom.us/j/94840634229?pwd=NW5CZ3Y1dEJXMnc3TWpzSHlkY0dFZz09>

Present: Chair Andrew Warrington, Tim Dempsey, Kathy Nellis, Kimberly Wimer, Jennifer Serino

Absent: Michael Darling

**I. Call to Order**.....Andrew Warrington, Committee Chairman

*Meeting called to order at 8:00am.  
Roll call attendance was taken.  
A quorum was verified.*

**II. Public Comment**

*No public comment.*

**III. Chairman’s Report**.....Andrew Warrington

*Nothing to report.*

**IV. Action**

- Approve the Committee Meeting Minutes from June 2021 [Attachment A](#)

*Action: Member Dempsey motioned to approve the June 2021 meeting minutes. Member Nellis seconded the motion.*

*No discussion or corrections.*

*A roll call vote was taken.*

*Motion carried.*

**V. Finance**

- Lake County Workforce Development Board Grants Overview [Attachment B](#)
- Lake County Workforce Development Board Grants Budget Overview [Attachment C](#)
- PY’19 WIOA Formula Grant Closeout

*Member Serino reviewed the WD Board 2020/2021 Grants and the terms for: Rapid Response Trade Case Management Trade -TAA, Disaster Relief Employment, Employment Recovery, Layoff Aversion, Opioid Dislocated Worker, Construction Works, Transform Lake County,*

*Dislocated Worker-Supportive Services, Regional Technical Assistance, and Regional Incumbent Worker Grants. The total amount for all Grants is total is \$2,813,905.02.*

*Workforce Development continues to fund Covid-19 Contact Tracers through March 2022 when the grant ends. There are 28 Contact Tracers and 2 vaccine advocate positions, a Nurse and Specialist concentrating on vaccines.*

## **VI. Compliance**

- Illinois Department of Commerce and Economic Opportunity LWIA 1 PY20 Combined Fiscal & Programmatic Monitoring Update

[Attachment D](#)

*Member Serino received positive feedback during the Exit Conference with DCEO. Overall, it was a good monitoring. A random number of files were reviewed. Each participant needs to have the same information in the State data base as the paper file. Participant notes should be entered every 25 days.*

*Certified the One Stop program last year. Not assigned a Labor Representative since recertified in May 2020. As of today, we have not received the final letter.*

## **VII. Upcoming Board & Committee Meetings**

- Finance and Compliance Committee Meeting – Tuesday, September 21, 2021 at 8:00 AM
- Workforce Development Board Meeting – Thursday, September 23, 2021 at 8:00 AM

## **VIII. Adjournment**

*Member Dempsey motioned to adjourn the meeting. Member Nellis seconded the motion.*

*Meeting adjourned at 8:30am.*



**Illinois**  
**Department of Commerce**  
 & Economic Opportunity

**OFFICE OF EMPLOYMENT & TRAINING**

JB Pritzker, Governor

August 18, 2021

Ms. Jennifer Serino Director  
 Lake County Workforce Development Department  
 1 North Genesee Street, Floor 1  
 Waukegan, IL 60085-8103

Re: Grant Nos.: 18-651001, 18-656001, 18-661001, 18-671001, 19-633001, 19-651001, 19-661001,  
 19-671001, 19-681001, 20-671001, 20-672001, 20-681001

Dear Ms. Serino,

This letter summarizes the results of the combined fiscal and programmatic monitoring conducted during the period of June 1, 2021 through June 4, 2021, by Levi Beerup, Robin Kralman, Tony Lesniak, Stephanie Webb, Chelsea Qualls, Matt Hillen, and Marvin Anderson of this Department. The purpose of the visit was to monitor compliance with the Workforce Innovation and Opportunity Act, the Trade Act, and its amendments, if applicable, and other applicable rules, regulations, and policies. The period reviewed during this monitoring visit was April 1, 2020 through April 30, 2021.

The results of the monitoring visit, which were discussed at the exit conference held on July 1, 2021 follow. Findings are labeled by component and element as they appear in our monitoring instrument. The results of the fiscal monitoring appear first, followed by the results of the programmatic monitoring.

**Fiscal Monitoring Results:**

**There are no fiscal findings as a result of this review.**

**Area of Concern #1:** The LWIA 1 fiscal report for the period ending 6/30/2021 indicate that Youth obligations did not meet the 80% obligation requirement outlined in the State of Illinois WIOA Policy 8.3.3. The Department has received and approved a waiver request and corrective action plan submitted by the LWIA to address these issues. The LWIA staff is directed to work with your regional manager and other OET staff to monitor the implementation of the corrective action plan to ensure that this requirement is met in Program Year 2021.

**LWIA 1 WIOA Title IB Expenditures – Period Ending 6/30/21**

Funding stream	Total Funds Available	Total Expenditures	Percent of TFA Expended	Total Unexpended
Administration	849,410.23	627,693.50	73.90%	221,716.73
Youth in-school	244,390.92	160,023.89	65.48%	84,367.03
Youth out-of-school	2,200,758.51	1,398,229.26	63.53%	802,529.25
Adult	1,872,554.23	1,545,018.88	82.51%	327,535.35
Dislocated Worker	2,679,817.83	1,998,795.19	74.59%	681,022.64
Total	7,846,931.72	5,729,760.72	73.02%	2,117,171.00

**LWIA 1 Obligations and Expenditures as of 7/22/2021**

Funding Stream	Rate
Youth	61%
Adult	87%
Dislocated Workers	80%

**Programmatic Monitoring Results:**

Component: 2 - Program  
 Element: B - Other Grant Requirements - Work Experience

**Finding #2:** The case management records for the participants listed below have identified data validation and/or other technical reporting issues in the case file or the Illinois Workforce Development System.

XXX-XX-1505 - DETS ID #20190807001 was missing. IWDS original Individual Employment Plan Service Record and hard copy dates are different; IWDS shows 12/8/2020, hard copy shows date of 12/14/2020.

XXX-XX-9423 - Participant was exited with employment, but not enrolled in follow-up during monitoring. It is noted that the follow-up service line was added later on 5/25/21, but was backdated to 1/29/21. 10-day policy to enter services was not followed.

XXX-XX-5492 - Wrong End Date on the Occupational Skills Training service line. Received Certificate of Completion and CDL license on 9/25/19. Service line was ended 8/23/19.

XXX-XX-4036 - While the Certificate of Training is reported in IWDS with documentation in the file, the date was entered incorrectly; Certificate of Training was issued on 12/19/2019; IWDS Credentials screen has date attained as 4/15/2020.

XXX-XX-4968 – Individual Employment Plan Service Record is recorded as 8/1/2017 in IWDS; hard copy in file shows a date of 12/12/2017. Error in entry of Occupational Skills Training Service Record as CAD AAS degree; however this is entered incorrectly in IWDS as he was enrolled from the beginning in Digital Media AAS and obtaining certificates within this program, per training institution's records. Program was validated with Regional Occupational Demand list, but it was not documented in the Individual Employment Plan in the file. Two-way communication was not recorded in case notes between 9/17/2019 and 1/6/2020.

**Corrective Action #2:** The grantee must review the case management records for each of the identified participants and make the appropriate corrections to IWDS or the customer files.

**Trade Adjustment Assistance – Programmatic Monitoring Results:**

**Finding #3:** The case management records for the Trade participant listed below has identified data validation and/or other technical reporting issues in the case file or the Illinois Workforce Development System.

XXX-XX-6993 - Tracking form ends at Spring 2021 semester. Participant has continued on with training through a projected End date of 6/26/21. There are no classes listed for Summer 2021. Participant has not turned in bi-weekly for dates 5/3/21 through 5/16/21 and 5/17/21 through 5/30/21. Ceased Participation entry is missing on Status screen for 5/3/21 through 5/16/21. Also, the most recent Ceased Participation entry is missing for weeks 5/17/21 through 5/30/21.

**Corrective Action #3:** For this identified customer, the entity must ensure that the DCEO Form #006d Trade Training Program Tracking Form is complete and updated to reflect accurate and updated information. In addition, the LWIA must provide documentation supporting the identified customer's attendance and progress. If documentation cannot be produced, the Entity must immediately enter a ceased participation status in IWDS as outlined in the State of Illinois Trade Program regulations.

### **National Dislocated Worker Grants - Programmatic Monitoring Results**

**Finding #4:** Participant files do not contain required case notes for the Dislocated Worker Grant (DWG) pre-enrollment activities or suitability as required by terms of grant 19-671001. Participant files also do not contain case notes that reflect participants were initially offered or periodically assessed for provision of support services. Documentation of the impact of the opioid crisis is not apparent in the files.

Case notes for the participant are missing the following requirements:

- Verification of required eligibility criteria
- Results for Assessment of Interest, Skills, and Preferences
- Reflective case notes to show suitability for participation and validation that the worksite and/or training selections is appropriate
- Verification to show that an assessment and results for Supportive Services needs was completed.

Case notes for the participant are missing the following requirements:

- Verification of required eligibility criteria
- Results for Assessment of Interest, Skills, and Preferences
- Reflective case notes to show suitability for participation and validation that the worksite and/or training selections is appropriate
- Verification to show that an assessment and results for Supportive Services needs was completed.

**Corrective Action #4:** The Entity must update IWDS to address the identified deficiencies for the identified participants.

**Management Recommendation #1:** It is recommended that the LWIA management team review the case management, performance and reporting policy and procedures to insure the timely and accurate reporting of the participant activities and services by case managers. At a minimum, this review should address the issues outlined in this letter and the areas of concern listed below.

- Multiple delays in case note entries and service entries were identified. It is recommended to review WIOA Policy Ch. 4, Sec. 2.3, Item #10 with staff to ensure all services provided to a customer are entered into IWDS within ten (10) calendar days of the service delivery.
- Several of the participant files that were reviewed did not include *Measurable Skills Gains (MSG)* entries in IWDS. It is recommended staff review their active files and update the MSG screen to reflect successful progression in training.
- It was observed that staff is not enrolling Youth participants into Case Management, although Case Management is being provided. It is recommended to begin enrolling Youth into case management to appropriately reflect services being provided
- Cash Incentives are being paid from WIOA funds and are currently not being recorded in the IWDS Service screen. It is recommended to begin recording these in the service screen. An updated WIOA policy will be forthcoming which will provide more detailed instruction for recording cash incentives.

**Management Recommendation #2:** It is recommended that the LWIA update the Individual Service Strategy and Individual Employment Plan Template/Form to provide space to record modifications including alternate training programs and services. The LWIA 1 Individual Employment Plan and Individual Service Strategy forms have space to record Occupational Skills Training, but there is no clear space to record other types of work-based training programs.

**Management Recommendation #3:** It is recommended that the LWIA review Work Experience training outline for accuracy to ensure required information (i.e. hourly rate of pay, hours per week to be worked, number of days to be worked per week) has been completed on the training plan. Start date on the Work Experience training outline should match the start date of the Work Experience training service record. Signatures and dates should also be reviewed on the training plan to validate the participant has been informed and agrees to the details specified on the training plan.

**Management Recommendation#4:** It is recommended that the LWIA continue to work with Department of Commerce and Economic Opportunity in updating the Application for One-Stop Certification. Department of Commerce and Economic Opportunity recognizes the LWIA staff's efforts in attempting to update the application, but the LWIA is unable to complete this until Department of Commerce and Economic Opportunity certifies their Board. It is requested the LWIA submit the updated application as soon as possible once the Board is certified.

A written response to all findings, including proper documentation, is to be submitted to the Department within 30 days of the date of this letter. The response should be addressed to John Barr at [john.w.barr@illinois.gov](mailto:john.w.barr@illinois.gov) and Tamika Chism at [tamika.chism@illinois.gov](mailto:tamika.chism@illinois.gov). The Department requires that all responses be furnished in a Word document with back up documentation provided as an attachment in PDF format. A copy of the signed response letter must also be provided.

I would like to thank you and your staff for your cooperation during the monitoring visit. If you have any questions related to the fiscal monitoring, please contact Anthony Lesniak at 312-270-3328. Questions regarding the programmatic monitoring should be directed to Robin Kralman at 618-301-2194.

Sincerely,

*John Barr*

John Barr  
Office of Employment and Training

cc: Sandy Hart  
Andrew Warrington  
Demar Harris  
Angela Cooper  
Tony Lesniak  
Robin Kralman  
Levi Beerup  
Marvin Anderson  
Chelsea Qualls  
Matt Hillen  
Tamika Chism  
Kelly Lapetino



## PY'20 WIOA Youth Formula Grant Waiver Request

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Lake County Workforce Development Board is submitting this PY'20 WIOA Youth Formula grant waiver for WIOA Grant 20-681001 Youth funding. This waiver is being requested against the requirement to obligate eighty percent of WIOA Title IB Youth Formula grants in PY'20 as outlined in the DCEO WIOA Notice No. 19-NOT-05, Section IV. Obligation Requirement Waiver.

### **Lake County Workforce Development Board PY'20 WIOA Formula Grant Stream impacted**

Lake County Workforce Development Board is submitting a waiver request for the PY'20 WIOA Title IB Youth formula grant.

### **Lake County Workforce Development Board PY'20 WIOA Formula Grant Youth Expenditures and Obligations**

Lake County Workforce Development Board's PY'20 WIOA Title IB Youth formula grant budget is \$1,886,737 and has projected \$1,209,317 in expenditures and obligations, equating to **64%** and not meeting the required 80% threshold.

### **Lake County Workforce Development Board documented reasons PY'20 WIOA Formula Grant Youth expenditures/obligations are less than 80%**

Lake County Workforce Development Board has provided oversight and guidance on the youth service program and financial performance. The Workforce Board has taken into consideration multiple aspect over the past fifteen months that have impacted the network of workforce development youth programs from being able to expedite services to targeted WIOA-eligible youth populations and expend/obligate 80% of the PY'20 WIOA Title IB Youth Formula grant.

Lake County Workforce Development received a 47.6% increase in the PY'20 Youth Formula grant – an additional \$608,320 from the previous year. The Workforce Board responded to this increase by engaging with additional youth serving organizations and six area high schools to recruit additional youth and deliver programs to WIOA eligible Out of School and In School Youth including graduating seniors with an IEP. The plan to enroll and deliver services beginning in the first quarter of the program year was delayed due to remote work, high schools remaining remote, and industries not opening doors to work-experiences. The planning and program delivery lagged into the second and third quarters of the program year – continuing to impact program and financial performance. Because of the delays, the enrollments and planned expenditures/obligations came in at a much lower percentage.

The Workforce Board notes in the fourth quarter of PY'20 enrollments and obligations report an increase with an additional 52 youth enrolled in programs and activities and an additional \$276,582 in funding obligations.





Fourth quarter WIOA Youth activities (April 2021 – June 2021)

- # youth enrolled in occupational training through an ITA- 15
- # youth enrolled in a paid work-experience – 13
- # youth enrolled in Career Crossroads Program and subrecipient Career Pathway Programs: 24
- WIOA Youth Funding Obligations written for ITAs: \$96,061.00
- WIOA Youth Funding Obligations written for Work Experience Agreements: \$180,521.00

### **Lake County Workforce Development Board plan to provide immediate services to youth**

The Workforce Development Board has funded the development and launching of multiple programs and points of entrance for youth and will continue with programs and identifying eligible youth and work-experience opportunities where there have been successes. Workforce Development will continue to work with and fund subrecipients providing Comprehensive Career Pathway and Career Crossroads programs and Workforce Development will expand outreach and recruitment strategies in the Job Center.

- Comprehensive Career Pathway Program model was rolled out in July 2020 as a new program model and subrecipients were awarded funds through an RFP. Comprehensive Career Pathway Programs recruit and enroll youth in a series of career awareness, job readiness, essential skills training and digital literacy activities. The youth work as a cohort and earn incentives as they successfully complete program components and add to their skills portfolio. Youth who successfully complete this phase transition into post-secondary education and/or paid work-experience or full-time employment.
- The Career Crossroads Programs was developed in direct response to Lake County receiving the increase in WIOA PY'20 Title IB Youth funds and leveraging existing relationships with area high schools. Career Crossroads enrolls high school seniors with an IEP in a series of career awareness, job readiness and essential skills training delivered jointly by high school faculty and a team from Workforce Development. The goal of the program is to assist students on their career pathway including transition to employment or post-secondary education upon graduation. Workforce Development works with high schools in high poverty areas in the county.
- Workforce Development will serve a greater number of youths through the Job Center – recruiting and enrolling WIOA eligible youth prepared to pursue occupational training through an Individual Training Account (ITA) funded training program.
- Workforce Development hired a business service specialist to work directly with youth and businesses and employers interested in setting up work-experiences and hiring youth. Workforce Development will engage with businesses, employers and youth serving programs to target and increase the number of work-experience opportunities available and set up paid work-experience training programs.
- Workforce Development has recognized the need to improve upon the delivery of various program elements by subject matter experts and is funding community and subject matter experts to deliver both virtual and in person services including: leadership training, entrepreneurial training, college readiness, financial literacy and mentoring.



- Workforce Development Board is hiring a consultant to complete an assessment of youth services and programs including interviewing stakeholders and participants. It is the intent to complete an assessment, identify how best to align services and programs, and make recommendations on how best to address recruitment and service gaps; and focusing on key sectors of the population including youth aging out of foster care, youth with a disability, youth tied to the justice system – on probation, and homeless youth.

### Lake County Workforce Development Board WIOA Youth budget and participant plan

Youth Plan	July 2021- June 2022	Quarterly Benchmarks			
<b>Total Funds Available</b>	<b>\$2,283,927</b>				
WIOA PY21 Grant Funds	\$1,518,900				
WIOA PY20 Grant Funds	\$765,027				
<b>Youth Program Administration</b>		Sep-21	Dec-21	Mar-22	Jun-22
WDD Personnel & Operations – including a team of contingent workers	\$1,033,878	20%	50%	75%	100%
Intermediary – Professional Services agreement with a consultant	\$50,000		75%	100%	
<b>Youth Service Delivery Strategies</b>					
Career Pathways Program	\$386,528	10%	40%	75%	100%
4 subrecipients	4	100%			
Enrollments	60	60%	75%	100%	
Career Crossroads – High Schools, staffing and youth cash incentives	\$152,000	0%	0%	25%	100%
High Schools	6	100%			
Enrollments	70	50%	100%		
Occupational Training – ITAs	\$150,000	25%	50%	85%	100%
	40	25%	50%	85%	100%
Work-based Training – wages	\$200,000	20%	70%	100%	
	15	60%	100%		
Program Elements	\$80,000	5%	25%	75%	100%
Job Readiness Stipends/Support Services	40	10%	50%	75%	100%
Outsourced services MOUs	4		100%		
Planned Expenditures/Obligations	\$2,052,406				
>/= 80% for compliance	84.76%				



## New Ways to Work

The Lake County Workforce Development Board hired New Ways to Work for professional consulting services with the goal of enhancing services to youth and young adults across the Lake County's network of youth serving organizations and educators.

### **SCOPE OF WORK**

#### **COMMUNITY ASSESSMENT AND PROFILE:**

The primary component of a redesign project is to gather and prepare demographic, workforce and education profiles, labor market information and community resources within Lake County. We would use existing data including ACS, Census, LMI, local education, and regional community college data to develop a profile to provide services for the young adults in the county.

#### **INTERVIEW TARGET POPULATIONS:**

This component will be to interview/survey the target populations Lake County is wishing to serve. Given the largeness of the Out of School (Opportunity Youth) population in the county, it would be beneficial to understand their specific needs, career interests, real and perceived barriers, unique circumstances, and support or lack of support from foster, probation, and homeless care systems. This will be conducted in individual and group settings and will include youth served by the current system and young adults not served by the system.

#### **STAKEHOLDER INTERVIEWS/ROUNDTABLES:**

As we discussed, the workforce development system is not a stand-alone program and depends heavily on partnerships and collaborations. Interviews will be conducted from current partners and potential future partners. Interviewees will include representatives from: schools and alternative schools, community colleges, state employment department, Department of Rehabilitation, community-based representatives, and parents.

#### **SERVICE DELIVERY/GAP ANALYSIS:**

The current service delivery system will be analyzed, and a service gap analysis will be conducted to identify geographic/demographic and resources opportunities to improve services.

**WIOA PY'19 PROGRAM FUNDING**  
**WIOA Grant Term (7/1/2019 - 6/30/2021)**  
**Reporting Period (7/1/2019 - 6/30/2021)**

**Grant Close-Out Summary**

	<b>Budget</b>	<b>Actuals</b>	<b>Diff</b>	<b>% to Budget</b>
Administration - Personnel	248,928.00	297,287.61	(48,359.61)	119%
Administration - Fringe Benefits	123,667.00	100,966.42	22,700.58	82%
Administration - Other Administration	39,798.00	19,287.49	20,510.51	48%
Administration - Indirect	45,305.00	40,156.48	5,148.52	89%
<b>Total Administration</b>	<b>457,698.00</b>	<b>457,698.00</b>	<b>-</b>	<b>100%</b>
Youth In-School - Personnel	70,667.00	57,844.05	12,822.95	82%
Youth In-School - Fringe Benefits	35,339.00	21,548.82	13,790.18	61%
Youth In-School - Other Program Costs	15,881.00	3,828.50	12,052.50	24%
<b>Youth In-School - Direct Training Cost</b>	<b>10,000.00</b>	<b>1,100.00</b>	<b>8,900.00</b>	<b>11%</b>
Occupational Skills Training Other	-	-	-	-
Remedial / Pre-Vocational Training	10,000.00	-	10,000.00	0%
WIOA Pay for Performance Contracts	-	-	-	-
Supportive Services	-	1,100.00	(1,100.00)	-
<b>Youth In-School - Work Based Training</b>	<b>6,819.00</b>	<b>5,479.20</b>	<b>1,339.80</b>	<b>100%</b>
Work Experience / Internships	6,819.00	5,479.20	1,339.80	100%
On-the-Job Training	-	-	-	-
Youth In-School - Indirect	12,861.00	10,933.32	1,927.68	85%
<b>Total Youth In-School</b>	<b>151,567.00</b>	<b>100,733.89</b>	<b>50,833.11</b>	<b>66%</b>
Youth Out of School - Personnel	270,024.00	361,476.51	(91,452.51)	134%
Youth Out of School - Fringe Benefits	131,126.00	151,922.20	(20,796.20)	116%
Youth Out of School - Other Program Costs	57,491.00	78,401.69	(20,910.69)	136%
<b>Youth Out of School - Direct Training Cost</b>	<b>360,198.00</b>	<b>227,723.62</b>	<b>132,474.38</b>	<b>63%</b>
Occupational Skills Training ITAs	46,000.00	81,579.84	(35,579.84)	177%
Occupational Skills Training Other	-	-	-	-
Remedial / Pre-Vocational Training	313,998.00	143,619.69	170,378.31	46%
WIOA Pay for Performance Contracts	-	-	-	-
Supportive Services	200.00	2,524.09	(2,324.09)	1262%
<b>Youth Out of School - Work Based Training</b>	<b>258,355.00</b>	<b>293,718.62</b>	<b>(35,363.62)</b>	<b>114%</b>
Work Experience / Internships	258,355.00	279,716.12	(21,361.12)	108%
On-the-Job Training	-	14,002.50	(14,002.50)	100%
Youth Out of School - Indirect	49,144.00	63,928.47	(14,784.47)	130%
<b>Total Youth Out of School</b>	<b>1,126,338.00</b>	<b>1,177,171.11</b>	<b>(50,833.11)</b>	<b>105%</b>
Adult - Personnel	324,318.00	267,497.11	56,820.89	82%
Adult - Fringe Benefits	155,304.00	118,743.59	36,560.41	76%
Adult - Other Program Costs	183,741.00	143,346.31	40,394.69	78%
<b>Adult - Direct Training Cost</b>	<b>330,289.00</b>	<b>524,935.40</b>	<b>(194,646.40)</b>	<b>159%</b>
Occupational Skills Training ITAs	325,289.00	521,168.04	(195,879.04)	160%
Supportive Services	5,000.00	3,767.36	1,232.64	75%
<b>Adult - Work Based Training</b>	<b>179,362.00</b>	<b>121,321.08</b>	<b>58,040.92</b>	<b>68%</b>
On-the-Job Training	30,000.00	43,263.42	(13,263.42)	144%
Work Experience / Internships	122,362.00	78,057.66	44,304.34	64%
Transitional Jobs	27,000.00	-	27,000.00	0%
Adult - Indirect	59,026.00	56,196.51	2,829.49	95%
<b>Total Adult</b>	<b>1,232,040.00</b>	<b>1,232,040.00</b>	<b>-</b>	<b>100%</b>
Dislocated Worker - Personnel	318,181.00	304,431.00	13,750.00	96%
Dislocated Worker - Fringe Benefits	153,862.00	140,112.00	13,750.00	91%
Dislocated Worker - Other Program Costs	161,923.00	134,812.19	27,110.81	83%
<b>Dislocated Worker - Direct Training Costs</b>	<b>470,157.00</b>	<b>862,129.88</b>	<b>(391,972.88)</b>	<b>183%</b>
Occupational Skills Training ITAs	469,157.00	858,947.15	(389,790.15)	-
Supportive Services	1,000.00	3,182.73	(2,182.73)	318%
<b>Dislocated Worker - Work Based Training</b>	<b>487,319.00</b>	<b>151,415.56</b>	<b>335,903.44</b>	<b>31%</b>
On-the-Job Training	50,000.00	8,261.44	41,738.56	17%
Work Experience / Internships	137,319.00	83,183.12	54,135.88	61%
Incumbent Worker	300,000.00	59,971.00	240,029.00	20%
Dislocated Worker - Indirect	57,909.00	56,450.37	1,458.63	97%
<b>Total Dislocated Worker</b>	<b>1,649,351.00</b>	<b>1,649,351.00</b>	<b>-</b>	<b>100%</b>
<b>Budgeted Costs Total</b>	<b>4,616,994.00</b>	<b>4,616,994.00</b>	<b>-</b>	<b>100%</b>
Ck	4,616,994.00	4,616,994.00	-	-
Diff	-	-	-	-

**WIOA COMPLIANCE PY'19 - Grant Close-Out**

<b>Youth Total Budget</b>	<b>Budget</b>	<b>Expense</b>	<b>Work Experience</b>	<b>Work Exp -20%</b>
Y-IN	151,567.00	100,733.89	5,479.20	
Y-OUT	1,126,338.00	1,177,171.11	293,718.62	
	<b>1,277,905.00</b>	<b>1,277,905.00</b>	<b>299,197.82</b>	<b>23.41%</b>
		100%		
<b>Adult/Dislocated</b>	<b>Budget</b>	<b>% of Budget</b>	<b>Training Expense</b>	<b>Training - 50%</b>
Adult	1,232,040.00	43%	646,256.48	
Dislocated	1,649,351.00	57%	1,013,545.44	
	<b>2,881,391.00</b>		<b>1,659,801.92</b>	<b>57.60%</b>

**WIOA PY'20 PROGRAM FUNDING**  
**WIOA Grant Term (7/1/2020 - 6/30/2022)**  
**Reporting Period (7/1/2020 - 7/31/2021)**

	<b>Budget</b>	<b>Actuals</b>	<b>Balance</b>	<b>% to Budget</b>
Administration - Personnel	342,258.00	278,926.57	63,331.43	81%
Administration - Fringe Benefits	159,112.00	115,382.46	43,729.54	73%
Administration - Other Administration	114,071.00	52,574.46	61,496.54	46%
Administration - Indirect	60,922.00	52,845.52	8,076.48	87%
<b>Total Administration</b>	<b>676,363.00</b>	<b>499,729.01</b>	<b>176,633.99</b>	<b>74%</b>
Youth In-School - Personnel	53,265.00	14,733.64	38,531.36	28%
Youth In-School - Fringe Benefits	24,212.00	5,427.34	18,784.66	22%
Youth In-School - Other Program Costs	10,129.00	14,260.18	(4,131.18)	141%
<b>Youth In-School - Direct Training Cost</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0%</b>
Occupational Skills Training Other	-	-	-	
Remedial / Pre-Vocational Training	-	-	-	0%
WIOA Pay for Performance Contracts	-	-	-	
Supportive Services	-	-	-	
Youth In-School - Work Based Training	<b>15,000.00</b>	<b>58,227.92</b>	(43,227.92)	388%
Work Experience / Internships	15,000.00	58,227.92	(43,227.92)	388%
On-the-Job Training	-	-	-	
Youth In-School - Indirect	9,481.00	1,957.80	7,523.20	21%
<b>Total Youth In-School</b>	<b>112,087.00</b>	<b>94,606.88</b>	<b>17,480.12</b>	<b>84%</b>
Youth Out of School - Personnel	407,283.00	96,863.94	310,419.06	24%
Youth Out of School - Fringe Benefits	189,384.00	38,978.99	150,405.01	21%
Youth Out of School - Other Program Costs	115,874.00	59,781.47	56,092.53	52%
<b>Youth Out of School - Direct Training Co:</b>	<b>393,712.00</b>	<b>369,486.44</b>	<b>24,225.56</b>	<b>94%</b>
Occupational Skills Training ITAs	124,046.00	165,667.02	(41,621.02)	134%
Occupational Skills Training Other	-	-	-	
Remedial / Pre-Vocational Training	267,166.00	194,975.53	72,190.47	73%
WIOA Pay for Performance Contracts	-	-	-	
Supportive Services	2,500.00	8,843.89	(6,343.89)	354%
<b>Youth Out of School - Work Based Traini</b>	<b>595,901.00</b>	<b>429,143.95</b>	<b>166,757.05</b>	<b>72%</b>
Work Experience / Internships	569,901.00	419,925.70	149,975.30	74%
On-the-Job Training	26,000.00	9,218.25	16,781.75	35%
Youth Out of School - Indirect	72,496.00	16,329.82	56,166.18	23%
<b>Total Youth Out of School</b>	<b>1,774,650.00</b>	<b>1,010,584.61</b>	<b>764,065.39</b>	<b>57%</b>
Adult - Personnel	468,957.00	274,828.50	194,128.50	59%
Adult - Fringe Benefits	240,436.00	118,454.46	121,981.54	49%
Adult - Other Program Costs	239,333.00	154,825.31	84,507.69	65%
<b>Adult - Direct Training Cost</b>	<b>456,424.00</b>	<b>703,920.38</b>	<b>(247,496.38)</b>	<b>154%</b>
Occupational Skills Training ITAs	446,399.00	701,539.62	(255,140.62)	157%
Supportive Services	10,025.00	2,380.76	7,644.24	24%
<b>Adult - Work Based Training</b>	<b>295,000.00</b>	<b>230,793.66</b>	<b>64,206.34</b>	<b>78%</b>
On-the-Job Training	70,000.00	34,237.05	35,762.95	49%
Work Experience / Internships	198,000.00	196,556.61	1,443.39	99%
Transitional Jobs	27,000.00	-	27,000.00	0%
Adult - Indirect	83,474.00	41,011.52	42,462.48	49%
<b>Total Adult</b>	<b>1,783,624.00</b>	<b>1,523,833.83</b>	<b>259,790.17</b>	<b>85%</b>
Dislocated Worker - Personnel	421,003.00	282,952.20	138,050.80	67%
Dislocated Worker - Fringe Benefits	218,925.00	124,990.70	93,934.30	57%
Dislocated Worker - Other Program Costs	209,760.00	91,588.30	118,171.70	44%
<b>Dislocated Worker - Direct Training Cost</b>	<b>977,290.00</b>	<b>861,926.97</b>	<b>115,363.03</b>	<b>88%</b>
Occupational Skills Training ITAs	970,290.00	860,640.63	109,649.37	89%
Supportive Services	7,000.00	1,286.34	5,713.66	18%
<b>Dislocated Worker - Work Based Training</b>	<b>515,000.00</b>	<b>493,757.21</b>	<b>21,242.79</b>	<b>96%</b>
On-the-Job Training	95,000.00	140,776.40	(45,776.40)	148%
Work Experience / Internships	220,000.00	292,915.81	(72,915.81)	133%
Incumbent Worker	200,000.00	60,065.00	139,935.00	30%
Dislocated Worker - Indirect	74,939.00	48,056.65	26,882.35	64%
<b>Total Dislocated Worker</b>	<b>2,416,917.00</b>	<b>1,903,272.03</b>	<b>513,644.97</b>	<b>79%</b>
<b>Budgeted Costs Total</b>	<b>6,763,641.00</b>	<b>5,032,026.36</b>	<b>1,731,614.64</b>	<b>74%</b>
Ck	6,763,641.00	5,032,026.36	1,731,614.64	
Diff	-	-	-	

**WIOA COMPLIANCE PY'20**

<b>Youth</b>	<b>Budget</b>	<b>Expense</b>	<b>Work Experience</b>	<b>Work Exp - 20%</b>
Y-IN	112,087.00	94,606.88	58,227.92	
Y-OUT	1,774,650.00	1,010,584.61	429,143.95	
	<b>1,886,737.00</b>	<b>1,105,191.49</b>	<b>487,371.87</b>	<b>25.83%</b>
		<b>59%</b>		
<b>Adult/Dislocated</b>	<b>Budget</b>	<b>% of Budget</b>	<b>Training Expense</b>	<b>Training - 50%</b>
Adult	1,783,624.00	42%	934,714.04	
Dislocated	2,416,917.00	58%	1,355,684.18	
	<b>4,200,541.00</b>		<b>2,290,398.22</b>	<b>54.53%</b>

Lake County Workforce Development Board  
Grant Expenditure Report July 31, 2021

**Workforce Development Grant Expenditure Report - Year End**

**Workforce Innovation and Opportunity Act Grant**

Youth - Adult - Dislocated Worker	Cost Category	Allocation	Certified Costs	Obligations*	Percentage
PY'21 Grant (July 2021 - June 2023)	Administration	507,052			0.0%
	Youth	1,518,901			0.0%
	Adult	1,435,907			0.0%
	Dislocated Worker	1,608,678			0.0%
	Total	5,070,538	-	-	0.0%

WIOA Compliance	
Goal	
YOS	75%
W/E	20%
Training	50%
Cost/Ob	80%

**Workforce Innovation and Opportunity Act Grant**

Youth - Adult - Dislocated Worker	Cost Category	Allocation	Certified Costs	Obligations*	Percentage
PY'20 Grant (July 2020 - June 2022)	Administration	676,363	499,729		73.9%
	Youth	1,886,737	1,105,191		58.6%
	Adult	1,783,624	1,523,834		85.4%
	Dislocated Worker	2,416,917	1,903,272		78.7%
	Total	6,763,641	5,032,026	-	74.4%

WIOA Compliance		
Goal	%Budget	
YOS	50%	54%
W/E	20%	26%
Training	50%	55%
Cost/Ob	100%	74.4%

**WIOA Opioid Grant - Dislocated Worker Training Grant**

(October 2019 - June 2022)	Cost Category	Budget	Certified Costs	Obligations*	Percentage
	Total Budget	105,766.00	94,174.26		89.0%

**Trade Act Grant (TAA)**

(October 2020 - September 2021)	Cost Category	Budget	Certified Costs	Obligations*	Percentage
	Total Budget	167,768.76	114,968.17		68.5%

**WIOA Disaster Employment Relief Grant - Contact Tracers**

(June 2020 - March 2022)	Cost Category	Budget	Certified Costs	Obligations*	Percentage
	Total Budget	1,223,650.00	780,148.55		63.8%

**WIOA Employment Recovery Grant - Dislocated Worker Training Grant**

(June 2020 - March 2022)	Cost Category	Budget	Certified Costs	Obligations*	Percentage
	Total Budget	298,011.00	217,146.90		72.9%

**WIOA Regional Team Training and Professional Development Grant**

(June 2020 - March 2022)	Cost Category	Budget	Certified Costs	Obligations*	Percentage
	Total Budget	166,005.00	99,533.78		60.0%

**Tollway ConstructionWorks Grant**

(September 2018 - August 2021)	Cost Category	Budget	Certified Costs	Obligations*	Percentage
	Total Budget	63,739.00	34,090.04		53.5%

**College of Lake County Transform Lake County Grant**

(September 2018 - December 2021)	Cost Category	Budget	Certified Costs	Obligations*	Percentage
	Total Budget	32,564.00	10,136.21		31.1%

**WIOA Dislocated Worker Project Navigator and Supportive Services**

(June 2021 - October 2022)	Cost Category	Budget	Certified Costs	Obligations*	Percentage
	Total Budget	325,000.00	-		0.0%

**WIOA Dislocated Worker Incumbent Worker Industrial Manufacturing Technician Grant**

(June 2021 - October 2022)	Cost Category	Budget	Certified Costs	Obligations*	Percentage
	Total Budget	156,454.00	-		0.0%

Certified costs for July 2021 reported on Aug 20, 2021. Obligations reported quarterly.